**Form ISR-4**

(Refer circular No. SEBI/HO/MIRSD/MIRSD\_RTAMB/P/CIR/2022/8 dated January 25,

2022 on Issuance of Securities in dematerialized form in case of Investor Service Requests)

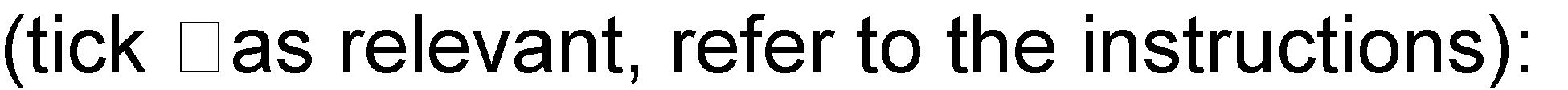
**Request for issue of Duplicate Certificate and other Service Requests**

(for Securities - Shares / Debentures / Bonds, etc., held in physical form)

**Date:**\_\_\_ /\_\_\_ /\_\_\_\_\_\_

**A. Mandatory Documents / details required for processing all service request**: **I / We are submitting the following documents / details and undertake to request the Depository Participant to dematerialize my / our securities within 120 days from the date of issuance of Letter of Confirmation, received from the RTA/Issuer**

**Company**



* Demat Account No. (If available): 󠄀 󠄀 󠄀 󠄀

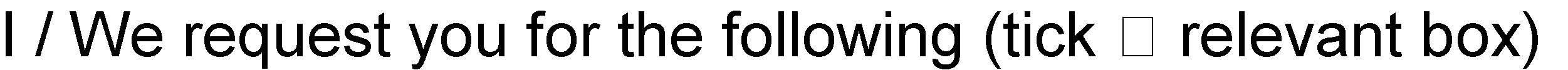
Provide Client Master List (**CML**) of your Demat Account from the Depository Participant\*

* Provide the following details, if they are not already available with the RTA (see [SEBI circular dated November 03, 2021](https://www.sebi.gov.in/legal/circulars/nov-2021/common-and-simplified-norms-for-processing-investor-s-service-request-by-rtas-and-norms-for-furnishing-pan-kyc-details-and-nomination_53787.html) in this regard)

|  |  |
| --- | --- |
| PAN | Specimen Signature |
| Nomination / Declaration to Opt-out |  |

\* (Your address, e-mail address, mobile number and bank details shall be updated in your folio from the information available in your **CML**). You can authorize the RTA to update the above details for all your folios. In this regard, please refer to and use [Form ISR-1](https://www.sebi.gov.in/sebi_data/commondocs/dec-2021/pdf%20Form%20ISR-1%20(1)_p.pdf) in [SEBI circular dated November 03, 2021.](https://www.sebi.gov.in/legal/circulars/nov-2021/common-and-simplified-norms-for-processing-investor-s-service-request-by-rtas-and-norms-for-furnishing-pan-kyc-details-and-nomination_53787.html)

**B.**



|  |  |
| --- | --- |
| Issue of Duplicate certificate | Claim from Unclaimed Suspense  Account |
| Replacement / Renewal / Exchange of  securities certificate | Endorsement |
| Sub-division / Splitting of securities certificate | Consolidation of Folios |
| Consolidation of Securities certificate 󠄀 | Transmission |
| Transposition *(Mention the new order of holders here)* | |

**C. I / We are enclosing certificate(s) as detailed below\*\*:**

|  |  |
| --- | --- |
| Name of the Issuer  Company |  |
| Folio Number |  |
| Name(s) of the security holder(s) as per the  certificate(s) | 1.  2. 3. |
| Certificate numbers |  |
| Distinctive numbers |  |
| Number & Face value of  securities |  |

\*\* Wherever applicable / whichever details are available

**D. Document / details required for specific service request:**

1. Duplicate securities certificate

1. Claim from Unclaimed Suspense Account

|  |  |
| --- | --- |
| Securities claimed | (in numbers)    (in words) |

1. Replacement / Renewal / Exchange of securities certificate

(that is defaced, mutilated, torn, decrepit, worn out or where the page on the reverse is fully utilized)

1. Endorsement
2. Sub-division / Splitting of securities certificate
3. Consolidation of securities certificate/Folios
4. Transmission
5. Transposition

*Provide / attach original securities certificate(s) for request for item numbers III to VIII above.*

**Declaration**: All the above facts stated are true and correct to best of my / our knowledge and belief.

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
|  | **Security Holder 1 / Claimant** |  | **Security Holder 2** | **Security Holder 3** |
| Signature |  |  |  |  |
| Name |  |  |  |  |
| Full  address |  |  | |  |
| PIN |  󠄀 |  | |  |

After 󠄀processing 󠄀the 󠄀service 󠄀request, 󠄀the 󠄀RTA 󠄀shall 󠄀issue 󠄀a 󠄀‘Letter 󠄀of 󠄀Confirmation’ 󠄀to 󠄀the 󠄀 securities holder/claimant, which is valid only for 120 days. Using this 󠄀 ‘Letter 󠄀 of 󠄀 Confirmation’, 󠄀the 󠄀securities 󠄀holder/claimant 󠄀shall 󠄀request 󠄀the 󠄀DP 󠄀to 󠄀dematerialize 󠄀the 󠄀 securities, failing which the securities shall be credited to the Suspense Escrow Demat Account of the Company.